# FORM 4

Г

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

(Print or Type Responses)

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)											
1. Name and Address of Reporting Person <sup>*</sup> Martin Michael Robert	2. Issuer Name <b>an</b> Aurinia Pharma			<i>.</i> .			5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director10% Owner				
(Last) (First) #1203-4464 MARKHAM STREET	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 09/10/2021					Ĩ	X_Officer (give title below)Other (specify below) Chief Business Officer			
(Street) VICTORIA, A1 V8Z7X8		4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State)	(Zip)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
(Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	(Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	Ownership Form:	Beneficial	
			Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)	Direct (D) or Indirect (I) (Instr. 4)	Ownershij (Instr. 4)	
Common Shares	09/13/2021		М		25,000	А	\$ 5.3 (1)	201,861	D		
Common Shares	09/10/2021	09/13/2021	S <sup>(2)</sup>		25,000	D	\$ 19.8 ( <u>3</u> )	176,861	D		
Common Stock	09/14/2021		М		45,000	А	\$ 5.3 (1)	221,861	D		
Common Stock	09/14/2021		М		45,000	А	\$ 3.2 <sup>(1)</sup>	266,861	D		
Common Stock	09/14/2021		S <u>(4)</u>		90,000	D	\$ 21.1913 (5)	176,861	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

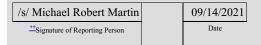
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	Conversion		Execution Date, if	Code	ion)	of E Secu Acq or E of (I	urities uired (A) Disposed D) tr. 3, 4,	(Month/Day	Date	7. Title and Amount of		Derivative Security (Instr. 5)	Derivative Securities Beneficially Owned Following Reported Transaction(s)	Derivative Security: Direct (D) or Indirect (I)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)	(Instr. 4)	
Option Grant (right to buy)	\$ 5.30 (1)	09/13/2021		М			25,000	<u>(6)</u>	02/01/2028	Common Stock	25,000.00	\$ 0	125,000	D	
Option Grant (right to buy)	\$ 5.30 (1)	09/14/2021		М			45,000	(7)	02/01/2028	Common Stock	45,000.00	\$ 0	80,000	D	
Option Grant (right to buy)	\$ 3.20 <sup>(1)</sup>	09/14/2021		М			45,000	<u>(8)</u>	02/09/2027	Common Stock	45,000.00	\$ 0	45,000	D	

## **Reporting Owners**

	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Martin Michael Robert #1203-4464 MARKHAM STREET VICTORIA, A1 V8Z7X8	,		Chief Business Officer					

### Signatures



## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Converted to U.S. dollars based on the average daily exchange rate of the Bank of Canada reported on the grant date.
- (2) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- The price in column 4 is a weighted average price. These shares were purchased in multiple transactions ranging from \$19.76 to \$19.87, inclusive. The reporting person undertakes to provide (3) to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range.
- (4) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person in connection with a divorce settlement agreement.
- The price in column 4 is a weighted average price. These shares were purchased in multiple transactions ranging from \$21.00 to \$21.42, inclusive. The reporting person undertakes to provide (5) to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range.
- (6) The shares subject to the option are fully vested and immediately exercisable. They vested in twelve equal monthly installments from the grant date.
- (7) The shares subject to the option vest in thirty-six equal monthly installments from the grant date.
- (8) The shares subject to the option are fully vested and immediately exercisable. They vested in thirty-six equal monthly installments from the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.