FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

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|    |    |    |    |   |    |

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|--------------------------|-----------|
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| hours per response:      | 0.5       |

#### Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 10b5-1(c). See Ins               | truction 10.   |          |                                                                                   |                                                                                                                                           |  |
|----------------------------------|----------------|----------|-----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------|--|
| 1. Name and Addres Habig Scott M | . 0            | son*     | 2. Issuer Name and Ticker or Trading Symbol Aurinia Pharmaceuticals Inc. [ AUPH ] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner                                               |  |
|                                  | (First) AVENUE | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 03/06/2024                       | X Officer (give title Other (specify below) Chief Commercial Officer                                                                      |  |
| (Street) EDMONTON (City)         | A0 (State)     | T5L 4S6  | 4. If Amendment, Date of Original Filed (Month/Day/Year)                          | Individual or Joint/Group Filing (Check Applicable Line X Form filed by One Reporting Person Form filed by More than One Reporting Persor |  |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Date, Transaction Code (Instr. |   | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) |               |          | 5. Amount of<br>Securities<br>Beneficially Owned<br>Following Reported | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) | Beneficial<br>Ownership |
|---------------------------------|--------------------------------------------|-------------------------------------------------------------|--------------------------------|---|-------------------------------------------------------------------|---------------|----------|------------------------------------------------------------------------|-------------------------------------------------------------------|-------------------------|
|                                 |                                            |                                                             | Code                           | v | Amount                                                            | (A) or<br>(D) | Price    | Transaction(s)<br>(Instr. 3 and 4)                                     |                                                                   | (Instr. 4)              |
| Common Stock                    | 03/06/2024                                 |                                                             | S                              |   | 17,777(1)                                                         | D             | \$5.6(2) | 474,587                                                                | D                                                                 |                         |

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security (Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | <br>3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transac<br>Code (Ir<br>8) |   | 5. Num<br>Derivat<br>Securit<br>Acquire<br>or Disp<br>(D) (Ins<br>and 5) | ive<br>ies<br>ed (A)<br>osed of | Expiration Date (Month/Day/Year) A) d of |                    | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 3 and 4) |                                     | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | Ownership<br>Form:<br>Direct (D) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|--------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------------------------------|---------------------------------|---|--------------------------------------------------------------------------|---------------------------------|------------------------------------------|--------------------|--------------------------------------------------------------------------------------------|-------------------------------------|-----------------------------------------------------|--------------------------------------------------------------------------------------------|----------------------------------|--------------------------------------------------------------------|
|                                                  |                                                                       |                                                                 | Code                            | v | (A)                                                                      | (D)                             | Date<br>Exercisable                      | Expiration<br>Date | Title                                                                                      | Amount<br>or<br>Number<br>of Shares |                                                     | Transaction(s)<br>(Instr. 4)                                                               |                                  |                                                                    |

### **Explanation of Responses:**

- 1. Represents the number of shares automatically sold to cover tax withholding obligations in connection with the vesting of Restricted Stock Units related to the March 2, 2023 grant.
- 2. The price in column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$5.475 to \$5.755, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.

#### Remarks:

<u>/s/ Scott Habig</u> <u>03/07/2024</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.