# SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **SCHEDULE 13G**

Under the Securities Exchange Act of 1934 (Amendment No.)\*

# Aurinia Pharmaceuticals Inc.

(Name of Issuer)

Common Shares, no par value (Title of Class of Securities)

> 05156V102 (CUSIP Number)

February 14, 2015 (Date of Event which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

 $\Box$  Rule 13d-1(b)

 $\square$  Rule 13d-1(c)

 $\boxtimes$  Rule 13d-1(d)

\* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act.

1.	Name of reporting person				
	Lumira Capital Investment Management Inc.				
2. Check the appropriate box if a member of a group			iate box if a member of a group		
	(a) $\Box$ (b) $\boxtimes$				
3.	SEC use of	nly			
4.	Source of funds				
	OO – other				
5.	Check box	if discl	osure of legal proceedings is required pursuant to Item 2(e) or 2(f) $\Box$		
6.	Citizenship	o or plac	ce of organization		
	Canada				
		7.	Sole voting power		
	umber of		0		
	shares neficially	8.	Shared voting power		
01	wned by		2,934,271*		
re	each porting	9.	Sole dispositive power		
	person		0		
	with	10.	Shared dispositive power		
			2,934,271*		
11.	Aggregate	amount	beneficially owned by each reporting person		
	2,934,271*				
12.	Check box	if the a	ggregate amount in Row (11) excludes certain shares □		
13.	Percent of class represented by amount in Row (11)				
	4.77%**				
14.	Type of rep	porting	person		
	СО				

- \* Consists of: (i) 2,355,600 common shares, no par value (the "<u>Common Shares</u>") of Aurinia Pharmaceuticals Inc. (the "<u>Issuer</u>") held by Lumira Capital II, L.P. ("<u>LCII</u>"); (ii) 217,820 Common Shares of the Issuer held by Lumira Capital II (International), L.P. ("<u>LCII</u>"); (iii) 291,409 Common Shares underlying warrants held by LCII that are exercisable within 60 days; (iv) 38,901 Common Shares underlying options held by Dr. Rovinski, of which LCII holds all pecuniary interest therein, that are exercisable within 60 days; (v) 26,946 Common Shares underlying warrants held by LCII (Int) that are exercisable within 60 days; and (vi) 3,595 Common Shares underlying options held by Dr. Rovinski, of which LCII (Int) holds all pecuniary interest therein, that are exercisable within 60 days.
- \*\* Based on: (i) 61,094,000 Common Shares issued and outstanding as of March 20, 2017, as reported to the Reporting Persons by the Issuer, plus (ii) 291,409 Common Shares underlying warrants held by LCII that are exercisable within 60 days, plus (iii) 38,901 Common Shares underlying options held by Dr. Rovinski, of which LCII holds all pecuniary interest therein, that are exercisable within 60 days, plus (iv) 26,946 Common Shares underlying warrants held by LCII (Int) that are exercisable within 60 days, plus (v) 3,595 Common Shares underlying options held by Dr. Rovinski, of which LCII (Int) holds all pecuniary interest therein, that are exercisable within 60 days, plus (v) 3,595 Common Shares underlying options held by Dr. Rovinski, of which LCII (Int) holds all pecuniary interest therein, that are exercisable within 60 days, of which (ii)-(v) are treated as issued and outstanding only for the purpose of computing the percentage ownership of Lumira Capital Investment Management Inc. pursuant to Rule 13d-3(d)(1)(i) under the Act.

1.	Name of re	eporting person		
	Lumira Capital II, L.P.			
2.	Check the	appropriate box if a member of a group		
	(a) 🗆 (b)			
3.	SEC use o	nly		
4.	Source of	funds		
	OO – other			
5.	Check box	if disclosure of legal proceedings is required pursuant to Item 2(e) or 2(f) $\Box$		
6.	Citizenshij	p or place of organization		
	Canada			
be o r	umber of shares neficially wned by each eporting person with	<ul> <li>7. Sole voting power</li> <li>0</li> <li>8. Shared voting power</li> <li>2,685,910*</li> <li>9. Sole dispositive power</li> <li>0</li> <li>10. Shared dispositive power</li> <li>2,685,910*</li> </ul>		
11.	Aggregate 2,685,910 <sup>3</sup>	amount beneficially owned by each reporting person *		
12.	Check box	x if the aggregate amount in Row (11) excludes certain shares $\Box$		
13.	Percent of	class represented by amount in Row (11)		
	4.37%**			
14.	Type of re	porting person		
	PN			

- \* Consists of: (i) 2,355,600 Common Shares of the Issuer held by LCII; (ii) 291,409 Common Shares underlying warrants held by LCII that are exercisable within 60 days; and (iii) 38,901 Common Shares underlying options held by Dr. Rovinski, of which LCII holds all pecuniary interest therein, that are exercisable within 60 days.
- \*\* Based on: (i) 61,094,000 Common Shares issued and outstanding as of March 20, 2017, as reported to the Reporting Persons by the Issuer, plus (ii) 291,409 Common Shares underlying warrants held by LCII that are exercisable within 60 days, plus (iii) 38,901 Common Shares underlying options held by Dr. Rovinski, of which LCII holds all pecuniary interest therein, that are exercisable within 60 days, of which (ii) and (iii) are treated as issued and outstanding only for the purpose of computing the percentage ownership of LCII pursuant to Rule 13d-3(d)(1)(i) under the Act.

1.	Name of r	eporting person	
	Lumira Capital II (International), L.P.		
2.	Check the	appropriate box if a member of a group	
	(a) 🗆 (b)		
3.	SEC use o	nly	
4. Source of funds		funds	
	OO – othe		
5.	Check box	if disclosure of legal proceedings is required pursuant to Item 2(e) or 2(f) $\Box$	
6.	Citizenshi	p or place of organization	
	Canada		
be o r	umber of shares neficially wned by each eporting person with	<ul> <li>7. Sole voting power</li> <li>0</li> <li>8. Shared voting power</li> <li>248,361*</li> <li>9. Sole dispositive power</li> <li>0</li> <li>10. Shared dispositive power</li> <li>248,361*</li> </ul>	
11.	Aggregate 248,361*	amount beneficially owned by each reporting person	
12.		if the aggregate amount in Row (11) excludes certain shares	
13.	Percent of	class represented by amount in Row (11)	
	0.41%**		
14.	Type of re	porting person	
	PN		

- \* Consists of: (i) 217,820 Common Shares of the Issuer held by LCII (Int); (ii) 26,946 Common Shares underlying warrants held by LCII (Int) that are exercisable within 60 days; and (iii) 3,595 Common Shares underlying options held by Dr. Rovinski, of which LCII (Int) holds all pecuniary interest therein, that are exercisable within 60 days.
- \*\* Based on: (i) 61,094,000 Common Shares issued and outstanding as of March 20, 2017, as reported to the Reporting Persons by the Issuer, plus (ii) 26,946 Common Shares underlying warrants held by LCII (Int) that are exercisable within 60 days, plus (iii) 3,595 Common Shares underlying options held by Dr. Rovinski, of which LCII (Int) holds all pecuniary interest therein, that are exercisable within 60 days, of which (ii) and (iii) are treated as issued and outstanding only for the purpose of computing the percentage ownership of LCII (Int) pursuant to Rule 13d-3(d)(1)(i) under the Act.

1.	Name of r	eporting person			
	Lumira Capital GP, L.P.				
2.	Check the	appropriate box if a member of a group			
	(a) 🗆 (b)				
3.	SEC use o	nly			
4.	4. Source of funds				
	OO – othe				
5.	Check box	if disclosure of legal proceedings is required pursuant to Item 2(e) or 2(f) $\Box$			
6.	Citizenshi	o or place of organization			
	Canada				
	Culluuu	7. Sole voting power			
	umber of	0			
	shares neficially	8. Shared voting power			
	wned by each	2,934,271*			
	eporting	9. Sole dispositive power			
	person with	0			
		10. Shared dispositive power			
		2,934,271*			
11.	Aggregate	amount beneficially owned by each reporting person			
	2,934,271				
12.	Check box	if the aggregate amount in Row (11) excludes certain shares $\Box$			
13.	Percent of	class represented by amount in Row (11)			
	4.77%**				
14.	Type of re	porting person			
	PN				

- \* Consists of: (i) 2,355,600 Common Shares of the Issuer held by LCII; (ii) 217,820 Common Shares of the Issuer held by LCII (Int); (iii) 291,409 Common Shares underlying warrants held by LCII that are exercisable within 60 days; (iv) 38,901 Common Shares underlying options held by Dr. Rovinski, of which LCII holds all pecuniary interest therein, that are exercisable within 60 days; (v) 26,946 Common Shares underlying warrants held by LCII (Int) that are exercisable within 60 days; and (vi) 3,595 Common Shares underlying options held by Dr. Rovinski, of which LCII (Int) holds all pecuniary interest therein, that are exercisable within 60 days.
- \*\* Based on: (i) 61,094,000 Common Shares issued and outstanding as of March 20, 2017, as reported to the Reporting Persons by the Issuer, plus (ii) 291,409 Common Shares underlying warrants held by LCII that are exercisable within 60 days, plus (iii) 38,901 Common Shares underlying options held by Dr. Rovinski, of which LCII holds all pecuniary interest therein, that are exercisable within 60 days, plus (iv) 26,946 Common Shares underlying warrants held by LCII (Int) that are exercisable within 60 days, plus (v) 3,595 Common Shares underlying options held by Dr. Rovinski, of which LCII (Int) holds all pecuniary interest therein, that are exercisable within 60 days, plus (i) 3,595 Common Shares underlying options held by Dr. Rovinski, of which LCII (Int) holds all pecuniary interest therein, that are exercisable within 60 days, of which (ii)-(v) are treated as issued and outstanding only for the purpose of computing the percentage ownership of Lumira Capital GP, L.P. pursuant to Rule 13d-3(d)(1)(i) under the Act.

1.	Name of reporting person				
	Lumira GP Holdings Co.				
2.	Check the	approp	riate box if a member of a group		
	(a) □ (b)				
3.	SEC use o	nly			
4.	4. Source of funds				
OO – other					
5.	Check box if disclosure of legal proceedings is required pursuant to Item 2(e) or 2(f) $\Box$				
6.	Citizenshij	p or pla	ce of organization		
	Canada				
		7.	Sole voting power		
	umber of shares		0		
ber	neficially	8.	Shared voting power		
01	wned by each		2,934,271*		
	eorting	9.	Sole dispositive power		
:	person with		0		
	WILLI	10.	Shared dispositive power		
			2,934,271*		
11.	Aggregate	amoun	t beneficially owned by each reporting person		
	2,934,271				
12.	Check box	if the a	aggregate amount in Row (11) excludes certain shares		
13.	Percent of class represented by amount in Row (11)		epresented by amount in Row (11)		
	4.77%**				
14.	Type of re	porting	person		
	СО				

- \* Consists of: (i) 2,355,600 Common Shares of the Issuer held by LCII; (ii) 217,820 Common Shares of the Issuer held by LCII (Int); (iii) 291,409 Common Shares underlying warrants held by LCII that are exercisable within 60 days; (iv) 38,901 Common Shares underlying options held by Dr. Rovinski, of which LCII holds all pecuniary interest therein, that are exercisable within 60 days; (v) 26,946 Common Shares underlying warrants held by LCII (Int) that are exercisable within 60 days; and (vi) 3,595 Common Shares underlying options held by Dr. Rovinski, of which LCII (Int) holds all pecuniary interest therein, that are exercisable within 60 days.
- \*\* Based on: (i) 61,094,000 Common Shares issued and outstanding as of March 20, 2017, as reported to the Reporting Persons by the Issuer, plus (ii) 291,409 Common Shares underlying warrants held by LCII that are exercisable within 60 days, plus (iii) 38,901 Common Shares underlying options held by Dr. Rovinski, of which LCII holds all pecuniary interest therein, that are exercisable within 60 days, plus (iv) 26,946 Common Shares underlying warrants held by LCII (Int) that are exercisable within 60 days, plus (v) 3,595 Common Shares underlying options held by Dr. Rovinski, of which LCII (Int) holds all pecuniary interest therein, that are exercisable within 60 days, plus (v) 3,595 Common Shares underlying options held by Dr. Rovinski, of which LCII (Int) holds all pecuniary interest therein, that are exercisable within 60 days, of which (ii)-(v) are treated as issued and outstanding only for the purpose of computing the percentage ownership of Lumira GP Holdings Co. pursuant to Rule 13d-3(d)(1)(i) under the Act.

1.	Name of reporting person			
	Lumira GP Inc.			
2.	Check the appropriate box if a member of a group			
	(a) $\Box$ (b) $\boxtimes$			
3.	SEC u	ise onl	y	
4.	Source	e of fu	inds	
	00 -			
5.	Check	box i	f disclosure of legal proceedings is required pursuant to Item 2(e) or 2(f) $\Box$	
6.	Citien		or place of organization	
0.		-	or place of organization	
	Canad	a 7.	Sole voting power	
<b>N</b> 7 1	c	7.	Sole voting power	
Numt sha			0	
benefi	cially	8.	Shared voting power	
owne eao	ed by		2,934,271*	
repoi		9.	Sole dispositive power	
pers wi	son		0	
W1	tn	10.	Shared dispositive power	
			2,934,271*	
11.	Aggre	gate a	mount beneficially owned by each reporting person	
	2,934,271*			
12.	Check	box i	f the aggregate amount in Row (11) excludes certain shares	
13.	3. Percent of class represented by amount in Row (11)		lass represented by amount in Row (11)	
	4.77%**			
14.	Туре о	of repo	orting person	
	СО			

- \* Consists of: (i) 2,355,600 Common Shares of the Issuer held by LCII; (ii) 217,820 Common Shares of the Issuer held by LCII (Int); (iii) 291,409 Common Shares underlying warrants held by LCII that are exercisable within 60 days; (iv) 38,901 Common Shares underlying options held by Dr. Rovinski, of which LCII holds all pecuniary interest therein, that are exercisable within 60 days; (v) 26,946 Common Shares underlying warrants held by LCII (Int) that are exercisable within 60 days; and (vi) 3,595 Common Shares underlying options held by Dr. Rovinski, of which LCII (Int) holds all pecuniary interest therein, that are exercisable within 60 days.
- \*\* Based on: (i) 61,094,000 Common Shares issued and outstanding as of March 20, 2017, as reported to the Reporting Persons by the Issuer, plus (ii) 291,409 Common Shares underlying warrants held by LCII that are exercisable within 60 days, plus (iii) 38,901 Common Shares underlying options held by Dr. Rovinski, of which LCII holds all pecuniary interest therein, that are exercisable within 60 days, plus (iv) 26,946 Common Shares underlying warrants held by LCII (Int) that are exercisable within 60 days, plus (v) 3,595 Common Shares underlying options held by Dr. Rovinski, of which LCII (Int) holds all pecuniary interest therein, that are exercisable within 60 days, plus (v) 3,595 Common Shares underlying options held by Dr. Rovinski, of which LCII (Int) holds all pecuniary interest therein, that are exercisable within 60 days, of which (ii)-(v) are treated as issued and outstanding only for the purpose of computing the percentage ownership of Lumira GP Inc. pursuant to Rule 13d-3(d)(1)(i) under the Act.

1.	Name of reporting person					
	Benjamin Rovinski					
2.	Check the appropriate box if a member of a group					
	(a) $\Box$ (b) $\boxtimes$					
3.	SEC u	ise onl	y			
4.	Source of funds					
	00 -					
5.	Check box if disclosure of legal proceedings is required pursuant to Item 2(e) or 2(f) $\Box$					
6.	Citizenship or place of organization					
	Canad					
		7.	Sole voting power			
	ber of ares		42,496*			
benef	icially	8.	Shared voting power			
	ed by ich		2,934,271**			
repo	rting	9.	Sole dispositive power			
	son ith		42,496*			
		10.	Shared dispositive power			
			2,934,271**			
11.	Aggre	gate a	mount beneficially owned by each reporting person			
	2,934,271**					
12.	Check	box i	f the aggregate amount in Row (11) excludes certain shares			
13.	Percer	nt of c	lass represented by amount in Row (11)			
10.	4.77%***					
14.			orting person			
	IN					

- \* Consists of: (i) 2,355,600 Common Shares of the Issuer held by LCII; (ii) 217,820 Common Shares of the Issuer held by LCII (Int); (iii) 291,409 Common Shares underlying warrants held by LCII that are exercisable within 60 days; (iv) 38,901 Common Shares underlying options held by Dr. Rovinski, of which LCII holds all pecuniary interest therein, that are exercisable within 60 days; (v) 26,946 Common Shares underlying warrants held by LCII (Int) that are exercisable within 60 days; and (vi) 3,595 Common Shares underlying options held by Dr. Rovinski, of which LCII (Int) holds all pecuniary interest therein, that are exercisable within 60 days.
- \*\* Based on: (i) 61,094,000 Common Shares issued and outstanding as of March 20, 2017, as reported to the Reporting Persons by the Issuer, plus (ii) 291,409 Common Shares underlying warrants held by LCII that are exercisable within 60 days, plus (iii) 38,901 Common Shares underlying options held by Dr. Rovinski, of which LCII holds all pecuniary interest therein, that are exercisable within 60 days, plus (iv) 26,946 Common Shares underlying warrants held by LCII (Int) that are exercisable within 60 days, plus (v) 3,595 Common Shares underlying options held by Dr. Rovinski, of which LCII (Int) holds all pecuniary interest therein, that are exercisable within 60 days, plus (v) 3,595 Common Shares underlying options held by Dr. Rovinski, of which LCII (Int) holds all pecuniary interest therein, that are exercisable within 60 days, of which (ii)-(v) are treated as issued and outstanding only for the purpose of computing the percentage ownership of Dr. Rovinski pursuant to Rule 13d-3(d)(1)(i) under the Act.

### Item 1.

### (a) Name of Issuer

Aurinia Pharmaceuticals Inc. (the "Issuer").

### (b) Address of Issuer's Principal Executive Offices:

#1203-4464 Markham Street, Victoria, British Columbia V8Z7X8, Canada.

### Item 2.

### (a) Name of Person Filing

Lumira Capital Investment Management Inc. ("Lumira Capital")

Lumira Capital II, L.P. ("LCII")

Lumira Capital GP, L.P. ("Lumira GP")

Lumira GP Holdings Co. ("Lumira GP Holdings")

Lumira GP Inc. ("Lumira GP Inc.")

Lumira Capital II (International), L.P. ("LCII (Int)")

Benjamin Rovinski (each a "Reporting Person" and together, the "Reporting Persons").

Lumira Capital's principal business is serving as an investment adviser to certain affiliated funds, including LCII and LCII (Int).

Lumira GP's principal business is serving as the sole general partner of each of LCII and LCII (Int).

Lumira GP Holdings' principal business is serving as one of two general partners of Lumira GP.

Lumira GP Inc' principal business is serving as one of two general partners of Lumira GP.

Dr. Rovinski is a director of the Issuer and a managing director of Lumira Capital.

### (b) Address of Principal Business Office or, if none, Residence

The business address of each of the Reporting Persons is 141 Adelaide Street West, Suite 770, Toronto, Ontario, Canada M5H 3L5.

### (c) Citizenship

Dr. Rovinski is a Canadian citizen. Each of the other Reporting Persons is organized under the laws of Canada.

### (d) Title of Class of Securities

Common Shares, no par value ("Common Shares").

### (e) CUSIP Number

05156V102.

### Item 3. If this Statement is Filed Pursuant to Rule 13d-1(b), or 13d-2(b) or (c), Check Whether the Person Filing is a:

(a)  $\Box$  Broker or dealer registered under Section 15 of the Exchange Act;

- (b)  $\square$  Bank as defined in Section 3(a)(6) of the Exchange Act;
- (c)  $\Box$  Insurance company as defined in Section 3(a)(19) of the Exchange Act;
- (d) Investment company registered under Section 8 of the Investment Company Act;
- (e)  $\Box$  An investment adviser in accordance with Rule 13d-1(b)(1)(ii)(E);
- (f)  $\Box$  An employee benefit plan or endowment fund in accordance with Rule 13d-1(b)(1)(ii)(F);
- (g)  $\Box$  A parent holding company or control person in accordance with Rule 13d-1(b)(1)(ii)(G);
- (h)  $\Box$  A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act;

(i)  $\Box$  A church plan that is excluded from the definition of an investment company under Section 3(c)(14) of the Investment Company Act;

(j)  $\Box$  Group, in accordance with Rule 13d-1(b)(1)(ii)(J).

### Item 4. Ownership.

The information required by Items 4(a)-(c) as of the date hereof is set forth in Rows (7)-(13) of the cover page for each Reporting Person. By virtue of the relationships described in Item 2(a) herein, each of Dr. Rovinski, Lumira Capital, Lumira GP, Lumira GP Inc. and Lumira GP Holdings may be deemed to beneficially own (as that term is defined in Rule 13d-3 under the Act) the Common Shares which each of LCII and LCII (Int) directly beneficially owns. Each of Dr. Rovinski, Lumira Capital, Lumira GP, Lumira GP Inc. and Lumira GP Holdings disclaims beneficial ownership of such Common Shares. As of the date hereof, Dr. Rovinski holds 38,901 options to acquire Common Shares of the Issuer that are exercisable within 60 days, of which LCII holds all pecuniary interest therein, and 3,595 options to acquire Common Shares of the Issuer that are exercisable within 60 days, of which LCII (Int) holds all pecuniary interest therein.

The Reporting Persons filing this Schedule 13G inadvertently failed to file a report when originally due, but made this corrective filing when the oversight was discovered. The Reporting Persons' ownership of the Common Shares exceeded 5% of the class when the Reporting Persons acquired the shares; however, as a result of subsequent issuances of Common Shares by the Issuer, the ownership of the Reporting Persons was reduced and the Reporting Persons ceased to be beneficial owners of more than 5% of the Common Shares as of March 20, 2017. The ownership totals stated in Rows (7)-(13) of the cover page for each Reporting Person reflect the reduction in such security ownership of the Reporting Persons, and are current as of the date of this Schedule 13G.

On September 20, 2013, Dr. Rovinski became a director of the Issuer. On September 20, 2013, LCII acquired 610,238 Common Shares of the Issuer and 305,119 warrants exercisable within 60 days of September 20, 2013, representing approximately 7.22% of the Common Shares of the Issuer outstanding as of that date. Such percentage was calculated based on (i) 12,373,589 Common Shares issued and outstanding as of September 20, 2013, as reported to the Reporting Person by the Issuer, plus (ii) 305,119 Common Shares issuable to the Reporting Person upon the exercise of outstanding warrants that were exercisable within 60 days of September 20, 2013.

On September 20, 2013, LCII (Int) acquired 56,428 Common Shares of the Issuer and 28,214 warrants exercisable within 60 days of September 20, 2013, representing approximately 0.68% of the Common Shares of the Issuer outstanding as of that date. Such percentage was calculated based on (i) 12,373,589 Common Shares issued and outstanding as of September 20, 2013, as reported to the Reporting Person by the Issuer, plus (ii) 28,214 Common Shares issuable to the Reporting Person upon the exercise of outstanding warrants that were exercisable within 60 days of September 20, 2013.

#### CUSIP No. 05156V102

On February 14, 2014, LCII acquired 1,165,636 Common Shares of the Issuer and 291,409 warrants exercisable within 60 days of February 14, 2014. As of that date, LCII beneficially owned 2,390,709 Common Shares of the Issuer, consisting of (i) 1,775,874 Common Shares, (ii) 596,528 Common Shares that were issuable to the Reporting Person upon the exercise of outstanding warrants that were exercisable within 60 days of February 14, 2014, and (iii) 18,307 Common Shares that were issuable to Dr. Rovinski upon exercise of outstanding options that were exercisable within 60 days of February 14, 2014, and (iii) 18,307 Common Shares that were issuable to Dr. Rovinski upon exercise of outstanding options that were exercisable within 60 days of February 14, 2014, of which LCII held all pecuniary interest therein, representing approximately 7.49% of the Common Shares of the Issuer outstanding as of that date. Such percentage was calculated based on (i) 31,294,500 Common Shares issued and outstanding as of February 14, 2014, as reported to the Reporting Person by the Issuer, plus (ii) 596,528 Common Shares issuable to the Reporting Person upon the exercise of outstanding warrants that were exercisable within 60 days of February 14, 2014, of which LCII held all pecuniary interest based on (i) 31,294,500 Common Shares issuable to the Reporting Person upon the exercise of outstanding warrants that were exercisable within 60 days of February 14, 2014, plus (iii) 18,307 Common Shares that were issuable to Dr. Rovinski upon the exercise of outstanding options that were exercisable within 60 days of February 14, 2014, of which LCII held all pecuniary interest therein.

On February 14, 2014, LCII (Int) acquired 107,785 Common Shares of the Issuer and 26,946 warrants exercisable within 60 days of February 14, 2014. As of that date, LCII (Int) beneficially owned 221,066 Common Shares of the Issuer, consisting of (i) 164,213 Common Shares, (ii) 55,160 Common Shares that were issuable to the Reporting Person upon the exercise of outstanding warrants that were exercisable within 60 days of February 14, 2014, and (iii) 1,693 Common Shares that were issuable to Dr. Rovinski upon exercise of outstanding options that were exercisable within 60 days of February 14, 2014, and (iii) 1,693 Common Shares that were issuable to Dr. Rovinski upon exercise of outstanding options that were exercisable within 60 days of February 14, 2014, of which LCII held all pecuniary interest therein, representing approximately 0.71% of the Common Shares of the Issuer outstanding as of that date. Such percentage was calculated based on (i) 31,294,500 Common Shares issuable to the Reporting Person upon the exercise of outstanding warrants that were exercisable within 60 days of February 14, 2014, as reported to the Reporting Person by the Issuer, plus (ii) 55,160 Common Shares issuable to the Reporting Person upon the exercise of outstanding warrants that were exercisable within 60 days of February 14, 2014, of which LCII held all pecuniary interest there is of outstanding options that were exercisable within 60 days of February 14, 2014, of which LCII held all pecuniary is of outstanding options that were exercisable within 60 days of February 14, 2014, of which LCII held all pecuniary interest therein.

On September 16, 2016, LCII exercised 305,119 warrants of the Issuer. As of that date, LCII beneficially owned 2,399,863 Common Shares of the Issuer, consisting of (i) 2,080,993 Common Shares, (ii) 291,409 Common Shares that were issuable to the Reporting Person upon the exercise of outstanding warrants that were exercisable within 60 days of September 16, 2016, and (iii) 27,461 Common Shares that were issuable to Dr. Rovinski upon the exercise of outstanding options that were exercisable within 60 days of September 16, 2016, of which LCII held all pecuniary interest therein, representing approximately 6.14% of the Common Shares of the Issuer outstanding as of that date. Such percentage was calculated based on (i) 38,794,000 Common Shares issued and outstanding as of September 16, 2016, as reported to the Reporting Person by the Issuer, plus (ii) 291,409 Common Shares issuable to the Reporting Person upon the exercise of outstanding warrants that were exercisable within 60 days of September 16, 2016, plus (iii) ) 27,461 Common Shares that were issuable to Dr. Rovinski upon the exercise of outstanding options that were exercisable within 60 days of September 16, 2016, plus (iii) ) 27,461 Common Shares that were issuable to Dr. Rovinski upon the exercise of outstanding options that were exercisable within 60 days of September 16, 2016, plus (iii) ) 27,461 Common Shares

On September 16, 2016, LCII (Int) exercised 28,214 warrants of the Issuer. As of that date, LCII (Int) beneficially owned 221,912 Common Shares of the Issuer, consisting of (i) 192,427 Common Shares of the Issuer, (ii) 26,946 Common Shares of the Issuer that were issuable to the Reporting Person upon the exercise of outstanding warrants that were exercisable within 60 days of September 16, 2016, and (iii) 2,539 Common Shares that were issuable to Dr. Rovinski upon the exercise of outstanding options that were exercisable within 60 days of September 16, 2016, of which LCII (Int) held all pecuniary interest therein, representing approximately 0.57% of the Common Shares of the Issuer outstanding as of that date. Such percentage was calculated based on (i) 38,794,000 Common Shares issuable to the Reporting Person upon the exercise of outstanding options that were exercise of outstanding as of September 16, 2016, as reported to the Reporting Person by the Issuer, plus (ii) 26,946 Common Shares issuable to the Reporting Person upon the exercise of outstanding warrants that were exercisable within 60 days of September 16, 2016, as reported to the Reporting Person by the Issuer, plus (ii) 26,946 Common Shares issuable to the Reporting Person upon the exercise of outstanding warrants that were exercisable within 60 days of September 16, 2016, plus (iii) 2,539 Common Shares that were issuable to Dr. Rovinski upon the exercise of outstanding options that were exercisable within 60 days of September 16, 2016, of which LCII (Int) held all pecuniary interest therein.

On March 20, 2017, LCII purchased 274,607 Common Shares of the Issuer. As of that date, LCII beneficially owned 2,685,910 Common Shares of the Issuer, consisting of (i) 2,355,600 Common Shares of the Issuer, (ii) 291,409 Common Shares of the Issuer issuable to the Reporting Person upon the exercise of outstanding warrants that are exercisable within 60 days of the date hereof, and (iii) 38,901 Common Shares that are issuable to Dr. Rovinski upon the exercise of outstanding options that are exercisable within 60 days of the Issuer outstanding as of that date. Such percentage was calculated based on (i) 61,094,000 Common Shares issuable to the Reporting Person upon the exercise of outstanding options Shares issuable to the Reporting Person by the Issuer, plus (ii) 291,409 Common Shares issuable to the Reporting Person upon the exercise of outstanding on the exercise of outstanding as of that date. Such percentage within 60 days of the date hereof, plus (iii) 38,901 Common Shares that are exercisable within 60 days of the texercise of outstanding warrants that are exercised to the Reporting Person upon the exercise of outstanding warrants that are exercisable within 60 days of the date hereof, plus (iii) 38,901 Common Shares that are issuable to Dr. Rovinski upon the exercise of outstanding options that are exercisable within 60 days of the date hereof, plus (iii) 38,901 Common Shares that are issuable to Dr. Rovinski upon the exercise of outstanding options that are exercisable within 60 days of the date hereof, of which LCII holds all pecuniary interest therein.

On March 20, 2017, LCII (Int) purchased 25,393 Common Shares of the Issuer. As of that date, LCII (Int) beneficially owned 248,361 Common Shares of the Issuer, consisting of (i) 217,820 Common Shares of the Issuer, (ii) 26,946 Common Shares of the Issuer issuable to the Reporting Person upon the exercise of outstanding warrants that are exercisable within 60 days of the date hereof, and (iii) 3,595 Common Shares that are issuable to Dr. Rovinski upon the exercise of outstanding options that are exercisable within 60 days of the Issuer outstanding as of that date. Such percentage was calculated based on (i) 61,094,000 Common Shares issuable to the Reporting Person upon the exercise of outstanding as of that date. Such percentage was calculated based on (i) 61,094,000 Common Shares issuable to the Reporting Person upon the exercise of outstanding warrants that are exercisable within 60 days of the date hereof, 20, 2017, as reported to the Reporting Person by the Issuer, plus (ii) 26,946 Common Shares issuable to the Reporting Person upon the exercise of outstanding warrants that are exercisable within 60 days of the date hereof, plus (iii) 3,595 Common Shares that are issuable to Dr. Rovinski upon the exercise of outstanding options that are exercisable within 60 days of the date hereof, plus (iii) 3,595 Common Shares that are issuable to Dr. Rovinski upon the exercise of outstanding options that are exercisable within 60 days of the date hereof, plus (iii) 3,595 Common Shares that are issuable to Dr. Rovinski upon the exercise of outstanding options that are exercisable within 60 days of the date hereof, of which LCII (Int) holds all pecuniary interest therein.

### Item 5. Ownership of Five Percent or Less of a Class.

If this statement is being filed to report the fact that as of the date hereof, the Reporting Persons have ceased to be the beneficial owner of more than five percent of the class of securities, check the following  $\boxtimes$ .

### Item 6. Ownership of More than Five Percent on Behalf of Another Person.

Not applicable.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company or Control Person

Not applicable.

### Item 8. Identification and Classification of Members of the Group.

See Exhibit B.

### Item 9. Notice of Dissolution of Group

Not applicable.

### Item Certification.

10.

Not applicable.

### **EXHIBITS**

1. Joint Filing Agreement, dated the date hereof, between the Reporting Persons.

2. Item 8 Statement.

### SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: April 6, 2017

### LUMIRA GP HOLDINGS CO.

By: <u>/s/ Vasco Larcina</u> Name: Vasco Larcina Title: VP Finance

By: <u>/s/ Vasco Larcina</u> Name: Vasco Larcina Title: VP Finance

### LUMIRA CAPITAL GP, L.P.

LUMIRA CAPITAL II, L.P.

### LUMIRA GP INC.

By: <u>/s/ Vasco Larcina</u> Name: Vasco Larcina Title: VP Finance

### LUMIRA CAPITAL INVESTMENT MANAGEMENT INC.

By: <u>/s/ Vasco Larcina</u> Name: Vasco Larcina Title: VP Finance

### LUMIRA CAPITAL II (INTERNATIONAL), L.P.

By: Lumira Capital GP, L.P., its general partner

By: Lumira GP Holdings Co., its general partner

By: Lumira GP Holdings Co., as general partner of Lumira Capital GP, L.P.

By: <u>/s/ Vasco Larcina</u> Name: Vasco Larcina Title: VP Finance

### **BENJAMIN ROVINSKI**

By: /s/ Benjamin Rovinski

By: Lumira Capital GP, L.P., its general partner

By: Lumira GP Holdings Co., as general partner of Lumira Capital GP, L.P.

By: <u>/s/ Vasco Larcina</u> Name: Vasco Larcina Title: VP Finance

### JOINT FILING AGREEMENT PURSUANT TO RULE 13d-1(k)

The undersigned acknowledge and agree that the foregoing statement on Schedule 13G is filed on behalf of each of the undersigned and that all subsequent amendments to this statement on Schedule 13G may be filed on behalf of each of the undersigned without the necessity of filing additional joint filing agreements. The undersigned acknowledge that each shall be responsible for the timely filing of such amendments, and for the completeness and accuracy of the information concerning it contained herein and therein, but shall not be responsible for the completeness and accuracy of the information concerning the others, except to the extent that it knows that such information is inaccurate.

Dated: April 6, 2017.

### LUMIRA GP HOLDINGS CO.

By: <u>/s/ Vasco Larcina</u> Name: Vasco Larcina Title: VP Finance

### LUMIRA CAPITAL GP, L.P.

LUMIRA GP INC.

By: <u>/s/ Vasco Larcina</u> Name: Vasco Larcina Title: VP Finance

### LUMIRA CAPITAL INVESTMENT MANAGEMENT INC.

By: Lumira GP Holdings Co., its general partner

By: <u>/s/ Vasco Larcina</u> Name: Vasco Larcina Title: VP Finance

### LUMIRA CAPITAL II, L.P.

By: Lumira Capital GP, L.P., its general partner

By: Lumira GP Holdings Co., as general partner of Lumira Capital GP, L.P.

By: <u>/s/ Vasco Larcina</u> Name: Vasco Larcina Title: VP Finance

### **BENJAMIN ROVINSKI**

By: /s/ Benjamin Rovinski

By: <u>/s/ Vasco Larcina</u> Name: Vasco Larcina Title: VP Finance

### LUMIRA CAPITAL II (INTERNATIONAL), L.P.

By: Lumira Capital GP, L.P., its general partner

By: Lumira GP Holdings Co., as general partner of Lumira Capital GP, L.P.

By: <u>/s/ Vasco Larcina</u> Name: Vasco Larcina Title: VP Finance Due to the relationships between them, the Reporting Persons set forth in Item 2(a) of this Schedule 13G may be deemed to constitute a "group" with one another for purposes of Section 13(d)(3) of the Securities Exchange Act of 1934, as amended (the "Exchange Act"). Neither the filing of this Schedule 13G nor any of its contents shall be deemed to constitute an admission that a group exists for purposes of Schedule 13(d)(3) of the Exchange Act or for any other purpose.